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**UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF NEW YORK**

UNITED STATES OF AMERICA v. GLENN R. UNGER

CASE NO.: 12-CR-579

DATE: October 16, 2013

PRESIDING JUDGE: Thomas J. McAvoy

☐ PLAINTIFF

 ☐ DEFENDANT

EXHIBIT NUMBER	DATE MARKED FOR ID	DATE ADMITTED IN EVIDENCE	WITNESS	EXHIBIT DESCRIPTION
1				IRS-FRP Master File Log
1A				IRS Form 3175
1B				IRS Form 3176
2				2004 Tax Return (1040) Filed on 12/28/07 (\$238,406.47)
3				2007 Tax Return (1040NR) Filed on 12/31/07 (\$200,000)
4				2005 Tax Return (1040) Filed on 1/2/08 (\$112,546.53)
5				2006 Tax Return (1040) Filed on 1/7/08 (\$2,729.58)
6				2006 Tax Return (1040) Filed on 2/25/08 (\$65,121.20)
6A				Letter from Unger to IRS-FRP dated 3/25/08
7				2007 Tax Return (1040) Filed on 3/21/08 (\$35,000,150.00)
8				2007 Tax Return (1040) Filed on 3/21/08 (\$266,847.92)
9				2008 Tax Return (1040) Filed on 7/8/08 (\$285,973.90)

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10				2004 Tax Return (843) Filed on 4/20/11 (\$5,936.16)
11				2005 Tax Return (843) Filed on 4/20/11 (\$5,761.59)
12				2006 Tax Return (843) Filed on 4/20/11 (\$17,235.28)
13				2007 Tax Return (843) Filed on 4/20/11 (\$17,153.08)
14				2008 Tax Return (843) Filed on 4/20/11 (\$5,584.50)
15				2010 Tax Return (709) Filed on 4/20/11 (\$90,096.11)
16				IRS Tax Lien Filed in Saratoga County on 8/4/09
17				2005 Wage and Income Transcript
18				2005 IRS Certificate of Assessment for Income Tax Return
19				2006 Wage and Income Transcript
20				2006 IRS Certificate of Assessment for Income Tax Return
21				2004 IRS Certificate of Civil Penalty

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22				2005 IRS Certificate of Civil Penalty
23				2006 IRS Certificate of Civil Penalty
24				2007 IRS Certificate of Civil Penalty
25				2008 IRS Certificate of Civil Penalty
26				2010 IRS Certificate of Civil Penalty
27				2004 IRS Certificate of Assessment for Income Tax Return
28				2007 IRS Certificate of Assessment for Income Tax Return
29				2008 IRS Certificate of Assessment for Income Tax Return
30				2009 IRS Certificate of Assessment for Income Tax Return
31				2010 IRS Certificate of Assessment for Income Tax Return
32				Saratoga County Filing by Keith Eaton on Behalf of Glenn R. Unger to Release IRS Tax Lien
32A				Letter From Hugh Burke to Eaton Dated 8/2/11

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32B				Letter From Eaton to Hugh Burke Dated 8/18/11
32C				Letter From Hugh Burke to Eaton Dated 9/12/11
33				Contract Between Dr. O'Donnell And Unger
33A				12/2/07 Email from Dr. O'Donnell to Kevin Mahoney
33B				Letter Containing \$200,000 Promissory Note as Payment
33C				1/7/08 Email from Dr. O'Donnell to Mahoney (Unable to Deposit the Promissory Note)
33D				6/7/08 Letter to Dr. O'Donnell Regarding Tax Reporting to IRS
34				Albany County Filing of the Promissory Note by Unger on 1/18/08
35				Blank IRS 1099 OID Form Seized from the Defendant's Residence
36				Correspondence Between Keith Eaton and the Saratoga County Clerk's Office Regarding the Release of the IRS Tax Lien Seized from the Defendant's Residence
37				IRS Letter(s) to Unger Notifying Him of Frivolous Filing Penalties Seized from the Defendant's Residence

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